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I hereby certify that the attached PTO form 1449 (3 pages) with 2 cited references (21 pages), Information Disclosure Statement (1 page) a copy of the Limited Recognition Under 37 CFR § 10.9(b) are submitted to the U.S. Patent and Trademark Office via facsimile number 703-872-9306 on the date shown below. (Total 26 pages).

  
\_\_\_\_\_  
Li Mei Vermilya

Date: April 21, 2005

PATENT APPLICATION  
Docket No.: 9903-073  
Client Ref. No.: S03US007

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jung-Jin KIM, et al.

Serial No.: 10/691,240 Examiner: Tran, Mai Huong C.

Filed: October 21, 2003 Group Art Unit: 2818

Confirmation No.: 6924

For: BALL GRID ARRAY PACKAGE STACK

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

☐ under 37 CFR 1.97 (b); or  
(Within three months of filing national application; or date of entry of International application; or before mailing date of first office action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under §1.114; whichever occurs last)☒ under 37 CFR 1.97 (c) together with either a:  
☒ Statement under 37 CFR 1.97 (e), or  
☐ a \$180 fee under 37 CFR 1.17 (p); or  
(After mailing of first Office Action, but prior to Notice of Allowance or Final

Office Action)

☐ under 37 CFR 1.97 (d) together with:  
☐ Statement under 37 CFR 1.97 (e), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17 (p).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☒ A copy of the Limited Recognition Under 37 CFR § 0.9(b).

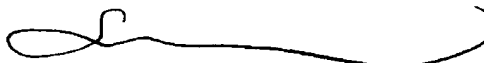
It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A duplicate copy of this sheet is enclosed.

**Customer No. 20575**

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.



Hosoon Lee

Limited Recognition Under 37 CFR § 0.9(b)

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## PATENT APPLICATION

Atty. Docket No.: 9903-073 Serial No. 10/691,240  
Applicant: Jung-Jin KIM, et al.  
Filing Date: April 11, 2003 Group: 2818

INFORMATION DISCLOSURE CITATION  
FORM PTO-1449 (Modified)U.S. PATENT DOCUMENTS

| <u>Exam</u><br><u>Init</u> | <u>Ref</u> | <u>Document</u><br><u>Number</u> | <u>Issue</u><br><u>Date</u> | <u>Name</u> | <u>Class</u> | <u>Sub</u><br><u>Class</u> |
|----------------------------|------------|----------------------------------|-----------------------------|-------------|--------------|----------------------------|
| _____                      | _____      |                                  |                             |             |              |                            |

FOREIGN PATENT DOCUMENTS

| <u>Exam</u><br><u>Init</u> | <u>Ref</u> | <u>Document</u><br><u>Number</u> | <u>Publication</u><br><u>Date</u> | <u>Country</u> | <u>Name</u> |
|----------------------------|------------|----------------------------------|-----------------------------------|----------------|-------------|
| _____                      | _____      | 2001-0078288                     | Aug. 20, 01                       | Korea          | Lee, et al. |

OTHER DOCUMENTS

| <u>Exam</u><br><u>Init</u> | <u>Ref</u> | <u>Author, Title, Date, Pertinent Pages, Etc.)</u>               |
|----------------------------|------------|--|
| _____                      | _____      | English language abstract of Korean Publication No. 2001-0078288 |

Examiner: \_\_\_\_\_

Date Considered: \_\_\_\_\_

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Alexandria, VA 22313-1450

STATEMENT ON FILING INFORMATION DISCLOSURE STATEMENT  
(37 CFR 1.97(e))


I, Hosoon Lee, the person signing below state that:  
each item of information contained in the Information Disclosure Statement submitted  
herewith was first cited in a communication from a foreign patent office in a counterpart  
foreign application not more than three months prior to the filing of this Statement

no information contained in the Information Disclosure Statement was cited in a  
communication from a foreign patent office in a counterpart foreign application and, to  
the knowledge of the person signing this Statement after making reasonable inquiry, was  
known to any individual designated in § 1.56(c) more than three months prior to the filing  
of the Statement.

Applicant respectfully requests consideration of the accompanying Information  
Disclosure Statement.

The person making this Statement is the attorney who signs below on the basis of the  
information in the attorney's file.

Respectfully submitted,  
MARGER JOHNSON & McCOLLOM, P.C.



Hosoon Lee  
Limited Recognition Under 37 CFR § 0.9(b)

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Li Mei Vermilya